

In terms of the **Financial Advisory and Intermediary Services Act (FAIS) (ACT NO 37 OF 2002)** the following information must be disclosed to clients and potential clients:

I, **Geoffrey Howard Boomer** am an Independent Financial Advisor, and have been in practice for 19 years. I am the Principal of the above-mentioned Financial Services Company.

I have been licensed to provide advice and intermediary services to my clients, in terms of the following categories: I specialise in Investments (Onshore & Offshore).

<u>I.</u>	<u>CATEGORY</u>	<u>ADVICE</u>	<u>INTERMEDIARY SERVICE</u>
1.1	Long-term Insurance: Category C	X	X
1.2	Retail Pension Benefits	X	X
1.3	Pension Funds Benefits (excluding retail)	X	X
1.4	Securities and instruments: Shares	X	X
1.5	Participatory interests in Collective Investment Schemes	X	X

I have achieved the following formal qualifications:- 126 Credits at NQF 5, completed various courses on World Investment markets with the Securities Institute of London and at the Wits Graduate School of Business.

St. James has access to, and has been accredited to market products from the following product providers:

- | | | | |
|--|---|--|-------------------------------------|
| <input type="checkbox"/> Momentum | <input type="checkbox"/> Investec | <input type="checkbox"/> Glacier | <input type="checkbox"/> RMB |
| <input type="checkbox"/> Invesco | <input type="checkbox"/> Prudential | <input type="checkbox"/> Peregrine Quant | <input type="checkbox"/> Allan Gray |
| <input type="checkbox"/> Seniors Finance | <input type="checkbox"/> Professional Provident Society | <input type="checkbox"/> Close International Fund Management | |

St. James does not own more than 10% of issued shares directly or indirectly, of any Life Assurer, Short term Insurer or Financial Product provider, and is not an associated company of any Life Assurer or Product Provider. (Independent)

St. James earns income from commission that the Product Provider pays over on the products purchased by clients.

St. James does hold Professional Indemnity Insurance cover to the value of R1,000,000.

From time to time I may receive some form of indirect consideration from Product Providers or the like. A gift register is available for inspection upon request.

As a client, should you feel that your rights have been prejudiced, or you have been aggrieved in any way, you have the right to lodge a complaint. A copy of the complaints process is available upon request.

See Quotation or Annexure for information on Product Suppliers: Name, Physical and Postal Address, Telephone details, Name and Contact details of their Compliance Department.

My future relationship will be to meet with you on a regular basis and after advice, implement whatever decisions have been made jointly.

Compliance Monitoring Systems CC, is the compliance officer and is represented by:- Alan Holton
Compliance Monitoring Systems CC can be contacted at:
PO Box 1097, Sedgefield, 6573
Tel No:- 0861 112 372 or www.stjamesglobal.co.za

I hereby acknowledge that I have read the content of this document.

Client Name / Signature

Date